

## CROP RESPONSES TO CARBON DIOXIDE DOUBLING: A LITERATURE SURVEY\*

JENNIFER D. CURE

*Botany Department, Duke University, Durham, NC 27706 (U.S.A.)*

BASIL ACOCK

*USDA-ARS, Crop Simulation Research Unit, Mississippi State, MS (U.S.A.)*

(Received December 9, 1985; revision accepted March 16, 1986)

### ABSTRACT

Cure, J.D. and Acock, B., 1986. Crop responses to carbon dioxide doubling: a literature survey. *Agric. For. Meteorol.*, 38: 127–145.

Atmospheric carbon dioxide ( $\text{CO}_2$ ) concentration will probably double by the middle of the next century. Since this is widely expected to increase crop yields, the Department of Energy has established a research program to gather data on the effects of  $\text{CO}_2$  on plants and to develop models that can be used to predict how plants will behave in a future high- $\text{CO}_2$  world.

This paper identifies strengths and weaknesses in the knowledge base for modelling plant responses to  $\text{CO}_2$ . It is based on an extensive tabulation of published information on responses of ten leading crop species to elevated  $\text{CO}_2$ . The response variables selected for examination were: (a) net carbon exchange rate, (b) net assimilation rate, (c) biomass accumulation, (d) root:shoot ratio, (e) harvest index, (f) conductance, (g) transpiration rate and (h) yield. The results were expressed as a predicted percentage change of the variable in response to a doubled  $\text{CO}_2$  concentration. In most instances, a linear model was used to fit the response data.

Overall, the net  $\text{CO}_2$  exchange rate of crops increased 52% on first exposure to a doubled  $\text{CO}_2$  concentration, but was only 29% higher after the plants had acclimatized to the new concentration. For net assimilation rate, the increases were smaller, but fell with time in a similar way. The  $\text{C}_4$  crops responded very much less than  $\text{C}_3$  crops. The responses of biomass accumulation and yield were similar to that for carbon fixation rate. Yield increased on average 41% for a doubling of  $\text{CO}_2$  concentration. The variation in harvest index was small and erratic except for soybean, where it decreased with a doubling of  $\text{CO}_2$  concentration. Conductance and transpiration were both inversely related to  $\text{CO}_2$  concentration. Transpiration decreased 23% on average for a doubling of  $\text{CO}_2$ .

Crop responses to  $\text{CO}_2$  during water stress were variable probably because high  $\text{CO}_2$  both increased leaf area (which increases water use) and reduced stomatal conductance (which decreases water use). However, low nutrient concentrations limited the responses of most crops to  $\text{CO}_2$ . The absolute increase in photosynthetic rate in response to high  $\text{CO}_2$  concentration was always greater in high light than in low light, but this was not necessarily true of the relative increase. In all except one study, responses to  $\text{CO}_2$  were larger at high temperature than at low. Most of these studies were done in high light intensity. In low light intensity, the effect of temperature on the  $\text{CO}_2$  response was smaller.

\* Supported by grant DE-AS05-83ER60177 from the Department of Energy, Carbon Dioxide Research Division.

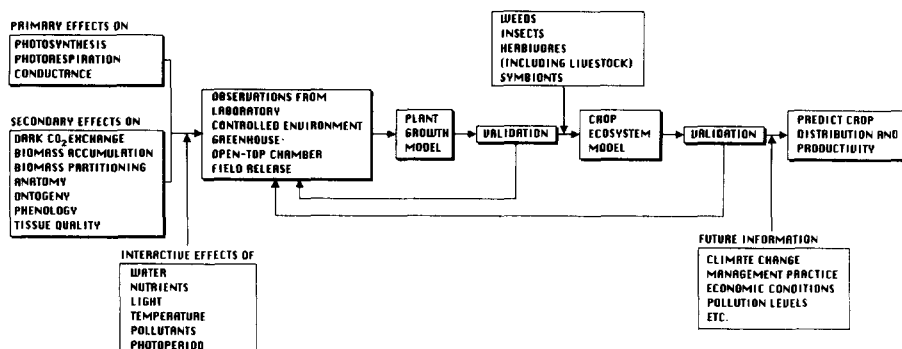


Fig. 1. Logic diagram for data acquisition, model development and eventual prediction of future effects of elevated CO<sub>2</sub> on agriculture.

These tables highlight the paucity and variability of data on interactions between CO<sub>2</sub> and other environmental variables. Given that C<sub>4</sub> plants already possess a CO<sub>2</sub>-concentrating mechanism, they have a surprisingly large response to CO<sub>2</sub>. Apart from the obvious difference between C<sub>3</sub> and C<sub>4</sub> plants, it was not possible to further subdivide plants into groups based on their responses to CO<sub>2</sub>.

## INTRODUCTION

Atmospheric carbon dioxide (CO<sub>2</sub>) concentration is increasing (Keeling, 1983) and is expected to double from the current mean value of 340 parts per million by volume (p.p.m.) to 680 p.p.m. before the end of the next century (U.S. National Research Council, 1983). The United States government has been sponsoring research on the potential impact of this change for many years and in 1978 it passed the National Climate Program Act which named the Department of Energy (DOE) as the lead agency to co-ordinate this research. The DOE has established research programs covering: (1) the carbon cycle, (2) climate effects, (3) vegetation response and (4) indirect effects.

The purpose of the DOE's Vegetation Response Research Program is to develop the ability to predict the responses of crops and ecosystems to elevated CO<sub>2</sub> concentrations (Dahlman et al., 1985). The approach is, first, to acquire laboratory and field data on the effect of CO<sub>2</sub> on plant growth and pathogens are theoretically controllable, there are a multiplicity of plants, agricultural ecosystems and unmanaged ecosystems to CO<sub>2</sub> and other environmental variables which themselves may change as CO<sub>2</sub> concentration increases.

Elevated CO<sub>2</sub> concentrations are widely expected to increase crop photosynthesis and yield. The possibility of significantly increased crop yield is of distinct economic and social interest and this has sparked a wave of interest in the agricultural research community. The purpose of this paper is to help identify the strengths and weaknesses in the knowledge base for processes

that are important for modelling and predicting crop growth in a future high-CO<sub>2</sub> world.

Figure 1 represents the logic of the DOE Vegetation Response Program for data acquisition, model development and eventual prediction of CO<sub>2</sub> effects on agriculture. Even for a crop monoculture, in which insects, weeds and pathogens are theoretically controllable, there are a multiplicity of factors and interactions which require understanding before accurate predictions can be made about the possible effects of increased CO<sub>2</sub>.

This paper is based on a tabulation of published information on selected responses to elevated CO<sub>2</sub> for ten leading crop species (Cure, 1985). The original tabulation includes interactions between CO<sub>2</sub> concentration and other important environmental factors and covers about 90 research reports. This is a summary of that tabulation in the form of predicted responses to a doubling of the current ambient CO<sub>2</sub> concentration from 340 to 680 p.p.m. It differs from Kimball's (1983) survey of plant yield response to a doubling of CO<sub>2</sub> concentration in that it examines the responses of fundamental processes underlying the yield response, as well as interactions between CO<sub>2</sub> and other factors.

## METHODS

The species selected for the survey are listed in Table I. They represent broad classes of plants as well as being economically important crops and therefore relatively well studied. C<sub>3</sub> and C<sub>4</sub> grasses, root crops and annual broadleaf species, including legumes, probably represent categories or groups of species with fundamentally different responses.

The response variables which were surveyed are listed in Table II. They were chosen on the basis of their utility for modelling growth and yield response to high CO<sub>2</sub>, rather than merely listing all the responses in the existing literature. This approach left blank spaces in the tables wherever information was lacking, thus highlighting our ignorance. Indeed, some response variables such as respiration were excluded from the tables because of the paucity of data for any species even though they are important in the adaptation of agriculture to the changing environment. In this paper we present only the tables showing the average response over all the experiments examined. Since the experiments varied greatly in the CO<sub>2</sub> concentrations and the units of measurement used, the results have been summarized as a predicted response to a doubled CO<sub>2</sub> concentration expressed as a percentage. Expressing the responses as percentages permits comparison of unlike variables (e.g., yield and conductance) within a species as well as across species. The number of studies and observations involved in each prediction are included and this provides a comprehensive picture of the data availability within each species/variable class as well as the relative responses.

TABLE I

Botanical character and agronomic significance of crop species selected for the Crop CO<sub>2</sub>-Doubling Response Survey

Crop species	Botanical character			Use		Root/ Tuber	Oil	Fiber	Rank	Rank
	Metabolism	Grass	Broad leaf	Legume	Grain				by acreage (USA) <sup>a</sup>	by acreage (World) <sup>a</sup>
Wheat <i>Triticum aestivum</i> L.	C <sub>3</sub>	X			X				2	1
Barley <i>Hordeum vulgare</i> L.	C <sub>3</sub>	X			X				6	4
Rice <i>Oryza sativa</i> L.	C <sub>3</sub>	X			X				10	2
Corn <i>Zea mays</i> L.	C <sub>4</sub>	X			X		X		1	3
Sorghum <i>Sorghum bicolor</i> (L.) Moench.	C <sub>4</sub>	X			X	X			5	6
Soybean <i>Glycine max</i> (L.) Merr.	C <sub>3</sub>		X		X		X		3	7
Alfalfa <i>Medicago sativa</i> L.	C <sub>3</sub>		X		X	X			—	—
Cotton <i>Gossypium hirsutum</i> L.	C <sub>3</sub>		X				X	X	7	9
Potato <i>Solanum tuberosum</i> L.	C <sub>3</sub>		X				X		11	12
Sweet potato <i>Ipomoea batatas</i>	C <sub>3</sub>		X				X		16	16

<sup>a</sup>The 1984 acreages for non-forage crops were ranked according to statistics from the Food and Agricultural Organization, United Nations Monthly Report 1984.

TABLE II

Response variables selected for the Crop CO<sub>2</sub>-Doubling Response Survey

---

Short-term CER	—	measurements of net carbon exchange rate made on leaves of plants grown at the ambient or control level of CO <sub>2</sub> and measured at elevated CO <sub>2</sub> concentrations.
Acclimatized CER	—	measurements of net carbon exchange rate made on leaves of plants which have been growing at the elevated CO <sub>2</sub> concentration for at least a week.
Initial NAR	—	net assimilation rate of plants calculated for an interval immediately following exposure to an elevated CO <sub>2</sub> concentration and not longer than (approximately) 2 weeks.
Long-term NAR	—	net assimilation rate of plants calculated for an interval beginning $\geq 2$ weeks after initial exposure to the elevated CO <sub>2</sub> concentration.
Biomass accumulation		
Root:shoot ratio		
Harvest index	—	seed dry weight divided by total standing top dry weight unless noted otherwise (roots excluded).
Conductance		
Transpiration		
Yield		

---

*Regressions*

The relative responses from all the experiments within a species/variable class were regressed against CO<sub>2</sub> concentration using the General Linear Models procedure in SAS (Statistical Analytical Systems, Cary, NC). The intercepts of the curves are not presented because the models, which were always linear except where noted otherwise, were constrained to pass through a relative response of unity corresponding to the control value of CO<sub>2</sub>. This was accomplished by subtracting 1 from all the relative responses, subtracting 340 from all the elevated CO<sub>2</sub> values and using the NOINT option in GLM. The predicted responses were then expressed as a percentage change. Exceptions to these procedures occurred for root:shoot ratio and harvest index, for which the regressions were based on simple differences between the values at elevated and control levels of CO<sub>2</sub>.

Since the control values of unity were artificially generated when the relative responses were calculated, effective degrees of freedom for error were taken to be the degrees of freedom in the GLM output less the number of points at control CO<sub>2</sub> and the error mean square and confidence limits were calculated accordingly. For experiments in which the CO<sub>2</sub> control was outside the 300–350 p.p.m. range, a separate regression was run, a predicted relative response for 340 p.p.m. CO<sub>2</sub> was obtained and this value was used as the control. In a few cases a quadratic model fitted the data significantly better and, therefore, was adopted. These cases are indicated on the tables.

*Pooling of data for overall responses*

The overall response is the mean response to CO<sub>2</sub> doubling across any secondary treatments (interactions) which may have been present in the experiments. If there were no secondary treatments in a given experiment, the relative response values in each experiment were pooled with those from all other experiments within the species/variable class. If, however, there were other secondary treatments, e.g., light, temperature, water stress, or nutrient stress, values across these other treatments were averaged together to give a single, intermediate value for a given CO<sub>2</sub> level in that experiment. Variation from experiment to experiment was often larger than variation due to secondary treatments within an experiment and averaging across the secondary treatments ensured that the overall response for the class would not be biased towards those experiments in which there were many secondary treatments.

*Pooling of data for interaction responses*

Interaction responses are relative responses to CO<sub>2</sub> enrichment within various levels of the secondary treatments: water, nutrient, light and temperature, calculated separately. Even in experiments where many levels of a secondary treatment were used, one level was selected as the "control" value (for water stress or nutrient stress experiments) or "low" value (for light or temperature experiments) and another was selected to produce a contrasting "stress" or "high" value. These were then pooled with values obtained from other experiments in the species/variable class even though the treatments were often quite different. For instance, single episode water stress data were pooled with chronic water stress data. This crude handling of the data was necessary to construct a broadly based summary of research results on interactions of CO<sub>2</sub> and other important environmental variables.

## RESULTS AND DISCUSSION

*Overall responses*

Although the empty cells in Tables III–VII are a crude measure of data availability, there is not a strict correspondence between a cell with an entry and a response which has been completely characterized. One begins to have confidence in a prediction only when several independent studies show a similar direction and order of magnitude of response. For example, we cannot place much confidence in the idea that doubling CO<sub>2</sub> concentration reduces potato biomass accumulation by 15% because it is based on only one study and the result is contrary to what has been found for most other species studied.

From Tables III–VII, the best studied species is clearly soybean (C<sub>3</sub>

TABLE III

Crop CO<sub>2</sub>-doubling response: overall

Response category	Wheat	Barley	Rice	Corn	Sorghum	Soybean	Alfalfa	Cotton	Potato	Sweet potato	Weighted average <sup>b</sup>
Short-term CER	+ 41 ± 7 (13,6)	+ 50 ± 31 (3,1)	+ 42 ± 19 (8,3)	+ 26 ± 9 (22,9)	- 3 ± a (2,1)	+ 78 ± 20 (19,8)	+ 139 ± a (2,1)	+ 60 ± 14 (13,4)	+ 105 ± 49 (3,1)	-	+ 52
Acclimatized CER	+ 27 ± 20 (10,5)	+ 14 ± a (2,1)	+ 46 ± a (2,1)	+ 4 ± 13 (9,3)	+ 6 ± 16 (5,2)	+ 42 ± 10 (39,16)	-	+ 13 ± 19 (9,4)	-	-	+ 29
Initial NAR	+ 11 ± 39 (3,1)	+ 14 ± a (2,1)	+ 26 ± 11 (8,3)	+ 9 ± 4 (10,5)	-	+ 35 ± 6 (15,7)	-	-	-	-	+ 23
Long-term NAR	+ 6 ± 31 (5,2)	+ 11 ± 7 (10,4)	-	+ 3 ± 9 (7,3)	+ 20 ± a (2,1)	+ 23 ± 5 (18,9)	-	+ 40 ± a (2,1)	+ 54 ± a (2,1)	+ 11 ± 25 (3,1)	+ 18
Biomass accumulation	+ 31 ± 16 (23,10)	+ 30 ± 17 (12,6)	+ 27 ± 7 (22,11)	+ 9 ± 5 (31,13)	+ 9 ± 29 (6,3)	+ 39 ± 5 (45,20)	+ 57 ± 277 (4,2)	+ 84 ± 126 (6,3)	- 15 ± a (2,1)	+ 59 ± 18 (3,1)	+ 30
Root:shoot ratio	+ 1.4 ± 3.2 <sup>c,d</sup> (8,4)	+ 6.4 ± 6.9 (4,2)	- 4.0 ± a (2,1)	+ 3.1 ± 4.0 <sup>d</sup> (11,5)	- 8.5 ± a (2,1)	+ 1.1 ± 1.0 <sup>d</sup> (25,11)	- 5.0 ± 0.6 (4,2)	+ 3.2 ± 8.0 (5,2)	- 2.1 ± a (2,1)	+ 34.9 ± 14.6 (3,1)	+ 2.09
Harvest index	+ 2.4 ± 2.3 <sup>c,d</sup> (12,6)	+ 1.3 ± a (2,1)	+ 1.9 ± 0.6 (6,3)	+ 4.3 ± 4.6 <sup>d</sup> (5,2)	-	- 5.0 ± 4.4 (19,8)	-	-	+ 1.9 ± a (2,1)	-	- 0.39
Conductance	- 22 ± 29 (4,2)	- 52 ± 61 (3,1)	- 33 ± 7 (5,3)	- 37 ± 7 (13,6)	- 27 ± 45 (3,1)	- 31 ± 5 (21,7)	-	- 15 ± 32 (3,1)	- 59 ± 12 (3,1)	-	- 33
Transpiration	- 17 ± 17 (4,2)	- 19 ± 6 (7,3)	- 16 ± 9 (7,3)	- 26 ± 6 (15,6)	- 27 ± 16 (6,2)	- 23 ± 5 (19,8)	-	- 18 ± 17 (7,3)	- 51 ± 24 (3,1)	-	- 23
Yield	+ 35 ± 14 <sup>d</sup> (17,8)	+ 70 ± a (2,1)	+ 15 ± 3 (6,3)	+ 29 ± 64 (3,1)	-	+ 29 ± 8 (28,12)	-	+ 209 ± a (2,1)	+ 51 ± 111 (6,3)	+ 83 ± 12 (3,1)	+ 41

Data represent the percentage change at 680 p.p.m. CO<sub>2</sub> compared with controls (300–350 p.p.m.) ± 95% confidence limits, as estimated by regression analysis. Exceptions are harvest index and root:shoot ratio, for which absolute changes are predicted. The values in parentheses are the number of relative response values used in each regression and the number of studies supplying those values.

<sup>a</sup>In cases where results were based on only two data points, error degrees of freedom were 0 and confidence limits could not be calculated. <sup>b</sup>For the weighted average for a response category, each predicted response value was multiplied by the number of studies associated with it, then these were summed and divided by the total number of studies in the response category row. <sup>c</sup>All values for root:shoot ratio and harvest index and their confidence limits should be multiplied by 10<sup>-2</sup>. <sup>d</sup>Based on quadratic model.

TABLE IV

Crop CO<sub>2</sub> doubling response: water stress interactions

Response category	Wheat	Barley	Rice	Corn	Sorghum	Soybean	Alfalfa	Cotton	Potato	Sweet potato
Short-term CER	—	—	—	—	—	—	—	—	—	—
Acclimatized CER	—	—	—	—	—	C: + 65 ± 29 S: + 38 ± 16 (5,2)	—	—	—	—
Initial NAR	—	—	—	—	—	—	—	—	—	—
Long-term NAR	—	—	—	—	—	—	—	—	—	—
Biomass accumulation	C: + 35 ± 34 S: + 33 ± 30 (8,4)	C: + 107 ± <sup>a</sup> S: + 65 ± <sup>a</sup> (2,1)	C: + 51 ± <sup>a</sup> S: + 52 ± <sup>a</sup> (2,1)	C: + 0 ± <sup>a</sup> S: + 36 ± <sup>a</sup> (2,1)	C: + 26 ± <sup>a</sup> S: + 31 ± <sup>a</sup> (2,1)	—	C: + 130 ± <sup>a</sup> S: + 78 ± <sup>a</sup> (2,1)	C: + 0 ± <sup>a</sup> S: + 19 ± <sup>a</sup> (2,1)	—	—
Root:shoot ratio	C: - 4.1 ± <sup>a,b</sup> S: - 3.2 ± <sup>a</sup> (2,1)	C: + 1.0 ± <sup>a</sup> S: - 4.0 ± <sup>a</sup> (2,1)	C: - 3.0 ± <sup>a</sup> S: - 5.0 ± <sup>a</sup> (2,1)	C: - 26.0 ± <sup>a</sup> S: - 5.0 ± <sup>a</sup> (2,1)	C: - 8.0 ± <sup>a</sup> S: - 9.0 ± <sup>a</sup> (2,1)	—	C: + 2.0 ± <sup>a</sup> S: - 12.0 ± <sup>a</sup> (2,1)	C: + 10.0 ± <sup>a</sup> S: + 2.0 ± <sup>a</sup> (2,1)	—	—
Harvest index	C: + 2.8 ± 3.1 <sup>b</sup> S: + 2.8 ± 11.8 (4,2)	—	—	—	—	C: + 1.6 ± <sup>a</sup> S: + 2.7 ± <sup>a</sup> (2,1)	—	—	—	—
Conductance	—	—	—	—	—	C: - 23 ± 3 S: - 32 ± 68 (3,1)	—	—	—	—
Transpiration	—	—	—	—	—	C: - 14 ± <sup>a</sup> S: - 12 ± <sup>a</sup> (2,1)	—	—	—	—
Yield	C: + 25 ± 151 S: + 41 ± 250 (4,2)	—	—	—	—	C: + 60 ± <sup>a</sup> S: + 46 ± <sup>a</sup> (2,1)	—	—	—	—

Data represent the percentage change at 680 p.p.m. CO<sub>2</sub> compared with controls (300–350 p.p.m.) ± 95% confidence limits, as estimated by regression analysis. Exceptions are harvest index and root:shoot ratio, for which absolute changes are predicted. The values in parentheses are the number of relative response values used in each regression and the number of studies supplying those values. C = control; S = stress.

<sup>a</sup> In cases where results were based on only two data points, error degrees of freedom were 0 and confidence limits could not be calculated.

<sup>b</sup> All values for root:shoot ratio and harvest index and their confidence limits should be multiplied by 10<sup>-2</sup>.



broadleaf), followed by wheat ( $C_3$  grass) and corn ( $C_4$  grass). The root crops are the least well studied, probably because of their lesser economic importance at present (Table I). However, there is some evidence that root crops may be among those which respond most strongly to  $CO_2$  enrichment (Kimball, 1983) and their relative importance as crops may therefore increase as  $CO_2$  concentration increases.

#### *Carbon assimilation*

The data for CER ( $CO_2$  exchange rate) and NAR (net assimilation rate), were divided into short-term and long-term categories, reflecting the importance of time for these measurements.

In the overall crop response to doubled  $CO_2$  concentration (Table III) the weighted average short-term CER response is about + 52%, whereas acclimatized CER is of the order of + 29%. Soybean and cotton are the only species for which photosynthesis has been studied explicitly as a function of time of exposure or acclimatization to elevated  $CO_2$  and these studies (Mauney et al., 1979; Wong, 1980; Clough and Peet, 1981; Peet, 1984; DeLucia et al., 1985) support the more general observation made here. Although CER always fell with time for soybeans, in most studies it remained higher in high than in low  $CO_2$ , although in a few studies it fell to about the same level or even lower. Data for cotton support this picture. The data for wheat, barley, rice and corn are also in general agreement, but since (unlike soybean and cotton) short-term CER data and acclimatized CER data were collected by different investigators under different conditions, these do not provide direct confirmation. The CER response categories include leaf as well as canopy measurements and thus could be misleading. Gifford (1977) showed in a growth chamber study that photosynthetic increases for wheat plants grown in a 490 p.p.m.  $CO_2$  atmosphere were 56% for the flag leaf, 40% for the plant canopy and 238% on a unit ground area basis, respectively, compared with controls grown at 290 p.p.m.  $CO_2$ . Although the last value is in the form most appropriate for crop predictions, this kind of data has been difficult to obtain and is therefore rare.

NAR is in some ways a more useful measure of net carbon input as it averages over days or weeks and is a simpler measurement to make and therefore less subject to error. Since the effects of dark respiration are by definition included in NAR, initial NAR responses were smaller than CER responses for all the species studied. The apparent reversal of this trend for sorghum cannot be trusted because it is based on such few studies.

For soybean, NAR also fell with time at any  $CO_2$  level, with NAR of high  $CO_2$  plants falling as fast or faster than that of controls (Patterson and Flint, 1982; Sionit et al., 1982, Sionit, 1983; Rogers et al., 1984a). The few studies directly addressing the issue have shown that high metabolic or storage "sink" (carbon utilization) activity is required for the photosynthetic response to elevated  $CO_2$  to be sustained (Clough and Peet, 1981; Peet, 1984). In a classic growth study, Neales and Nicholls (1978) clearly described

TABLE V  
Crop CO<sub>2</sub>-doubling response: nutrient stress interactions

Response category	Wheat	Barley	Rice	Corn	Sorghum	Soybean	Alfalfa	Cotton	Potato	Sweet potato
Short-term CER	—	—	—	—	—	—	—	C: + 76 ± <sup>a</sup> S: + 59 ± <sup>a</sup>	—	—
Acclimatized CER	—	—	—	C: + 32 ± 46 S: + 29 ± 43 (3,1)	—	C: + 39 ± <sup>a</sup> S: + 14 ± <sup>a</sup> (2,1)	—	C: + 35 ± 109 S: + 23 ± 35 (4,2)	—	—
Initial NAR	—	—	—	C: + 5 ± <sup>a</sup> S: + 3 ± <sup>a</sup> (2,1)	—	C: + 35 ± 73 S: + 45 ± 11 (4,2)	—	—	—	—
Long-term NAR	C: + 25 ± <sup>a</sup> S: + 31 ± <sup>a</sup> (2,1)	—	—	—	—	C: + 19 ± 123 S: + 35 ± 102 (4,2)	—	—	—	—
Biomass accumulation	C: + 39 ± 15 S: + 10 ± 2 (4,2)	—	C: + 32 ± 69 S: + 30 ± 21 (4,2)	C: + 14 ± 7 S: + 11 ± 6 (6,3)	—	C: + 52 ± 73 S: + 40 ± 60 (6,3)	C: + 13 ± <sup>a</sup> S: + 18 ± <sup>a</sup> (2,1)	C: + 146 ± <sup>a</sup> S: + 133 ± <sup>a</sup> (2,1)	—	—
Root:shoot ratio	C: + 1.0 ± 0.5 <sup>b</sup> S: + 2.5 ± 4.4 (4,2)	—	—	C: - 1.9 ± <sup>a</sup> S: + 5.2 ± <sup>a</sup> (2,1)	—	C: - 0.3 ± 3.2 S: - 3.2 ± 12.5 (6,3)	C: - 9.6 ± <sup>a</sup> S: - 2.7 ± <sup>a</sup> (2,1)	—	—	—
Harvest index	C: + 2.7 ± 14.7 <sup>b</sup> S: + 1.6 ± 15.1 (4,2)	—	—	—	—	C: - 5.1 ± <sup>a</sup> S: - 0.0 ± <sup>a</sup> (2,1)	—	—	—	—
Conductance	—	—	—	—	—	C: - 37 ± <sup>a</sup> S: - 45 ± <sup>a</sup> (2,1)	—	—	—	—

Transpiration	—	—	—	C: $-47 \pm^a$ S: $-49 \pm^a$ (2,1)	—	C: $-28 \pm^a$ S: $-36 \pm^a$ (2,1)	—	C: $-33 \pm 30$ S: $-41 \pm 24$ (4,2)	—
Yield	C: $+43 \pm 57$ S: $+16 \pm 18$ (4,2)	—	—	—	—	C: $+126 \pm^a$ S: $+102 \pm^a$ (2,1)	—	—	—

Data represent the percentage change at 680 p.p.m.  $\text{CO}_2$  compared with controls (300–350 p.p.m.)  $\pm$  95% confidence limits, as estimated by regression analysis. Exceptions are harvest index and root:shoot ratio, for which absolute changes are predicted. The values in parentheses are the number of relative response values used in each regression and the number of studies supplying those values. C = control; S = stress.

<sup>a</sup> In cases where results were based on only two data points, error degrees of freedom were 0 and confidence limits could not be calculated.

<sup>b</sup> All values for root:shoot ratio, harvest index and their confidence limits should be multiplied by  $10^{-4}$ .

TABLE VI  
Crop CO<sub>2</sub> doubling response: light interactions

Response category	Wheat	Barley	Rice	Corn	Sorghum	Soybean	Alfalfa	Cotton	Potato	Sweet potato
Short-term CER	L: + 37 ± 20 H: + 49 ± 26 (3,1)	—	—	L: + 21 ± 11 H: + 23 ± 16 (7,3)	—	L: + 52 ± 51 H: + 100 ± 32 (7,3)	—	L: + 67 ± 14 H: + 47 ± 28 (6,2)	—	—
Acclimatized CER	—	L: + 11 ± <sup>a</sup> H: + 15 ± <sup>a</sup> (2,1)	—	—	—	L: + 84 ± 23 H: + 62 ± 25 (12,6)	—	—	—	—
Initial NAR	—	L: + 9 ± <sup>a</sup> H: + 18 ± <sup>a</sup> (2,1)	L: + 39 ± <sup>a</sup> H: + 26 ± <sup>a</sup> (2,1)	L: + 8 ± <sup>a</sup> H: + 12 ± <sup>a</sup> (2,1)	—	L: + 23 ± <sup>a</sup> H: + 31 ± <sup>a</sup> (2,1)	—	—	—	—
Long-term NAR	—	L: + 7 ± 26 H: + 7 ± 7 (6,3)	—	L: - 3 ± <sup>a</sup> H: + 9 ± <sup>a</sup> (2,1)	—	—	—	—	—	—
Biomass accumulation	L: + 15 ± <sup>a</sup> H: + 20 ± <sup>a</sup> (2,1)	L: + 20 ± 32 H: + 17 ± 14 (4,2)	L: + 28 ± <sup>a</sup> H: + 31 ± <sup>a</sup> (2,1)	L: + 16 ± 32 H: + 19 ± 22 (4,2)	—	L: + 44 ± 175 H: + 41 ± 73 (4,2)	—	—	—	—
Root:shoot ratio	—	—	—	L: + 2.0 ± <sup>ab</sup> H: + 1.5 ± <sup>a</sup> (2,1)	—	L: - 2.0 ± <sup>a</sup> H: - 1.0 ± <sup>a</sup> (2,1)	—	—	—	—
Harvest index	—	—	—	—	—	—	—	—	—	—
Conductance	L: - 31 ± <sup>a</sup> H: - 25 ± <sup>a</sup> (2,1)	—	—	L: - 34 ± 23 H: - 40 ± 17 (5,2)	—	—	—	—	—	—

Transpiration	L: - 27 ± <sup>a</sup> H: - 11 ± <sup>a</sup> (2,1)	-	-	L: - 30 ± 19 H: - 28 ± 15 (5,2)	-	L: - 7 ± 78 H: - 15 ± 7 (4,2)	-	-
Yield	-	-	-	-	-	-	-	-

Data represent the percentage change at 680 p.p.m. CO<sub>2</sub> compared with controls (300–350 p.p.m) ± 95% confidence limits, as estimated by regression analysis. Exceptions are harvest index and root:shoot ratio, for which absolute changes are predicted. The values in parentheses are the number of relative response values used in each regression and the number of studies supplying those values. L = Low; H = High.

<sup>a</sup> In cases where results were based on only two data points, error degrees of freedom were 0 and confidence limits could not be calculated.

<sup>b</sup> All values for root:shoot ratio, harvest index and their confidence limits should be multiplied by 10<sup>-2</sup>.

TABLE VII

Crop CO<sub>2</sub>-doubling response: temperature interactions

Response category	Wheat	Barley	Rice	Corn	Sorghum	Soybean	Alfalfa	Cotton	Potato	Sweet potato
Short-term CER	L: + 6 ± 4 H: + 52 ± 35 (4,2)	—	—	—	—	—	L: + 96 ± <sup>a</sup> H: + 177 ± <sup>a</sup> (2,1)	L: + 100 ± 28 H: + 90 ± 27 (3,1)	—	—
Acclimatized CER	—	—	—	—	—	L: + 72 ± 95 H: + 80 ± 20 (4,2)	—	—	—	—
Initial NAR	—	—	L: + 24 ± <sup>a</sup> H: + 34 ± <sup>a</sup> (2,1)	L: + 8 ± <sup>a</sup> H: + 12 ± <sup>a</sup> (2,1)	—	L: + 24 ± <sup>a</sup> H: + 31 ± <sup>a</sup> (2,1)	—	—	—	—
Long-term NAR	—	—	—	—	—	—	—	—	—	—
Biomass accumulation	—	—	L: + 26 ± <sup>a</sup> H: + 36 ± <sup>a</sup> (2,1)	L: + 12 ± <sup>a</sup> H: + 18 ± <sup>a</sup> (2,1)	—	L: + 27 ± <sup>a</sup> H: + 39 ± <sup>a</sup> (2,1)	—	—	—	—
Root:shoot ratio	—	—	—	—	—	—	—	—	—	—
Harvest index	—	—	—	—	—	—	—	—	—	—
Conductance	—	—	—	—	—	—	—	—	—	—
Transpiration	—	—	—	—	—	L: - 9 ± <sup>a</sup> H: - 13 ± <sup>a</sup> (2,1)	—	—	—	—
Yield	—	—	—	—	—	—	—	—	—	—

Data represent the percentages change at 680 p.p.m. CO<sub>2</sub> compared with controls (300–350 p.p.m.) ± 95% confidence limits, as estimated by regression analysis. Exceptions are harvest index and root:shoot ratio, for which absolute changes are predicted. The values in parentheses are the number of relative response values used in each regression and the number of studies supplying those values. L = low; H = high.

<sup>a</sup> In cases where results were based on only two data points, error degrees of freedom were 0 and confidence limits could not be calculated.

a similar response for wheat and other data for wheat and barley are also consistent (Ford and Thorne, 1967; Sionit et al., 1981).

All of the data for the carbon assimilation variables for  $C_3$  species (Table III) taken together suggest that the grasses may respond somewhat less strongly to elevated  $CO_2$  concentrations than the broadleaf species soybean and cotton. However, even in this first, relatively well studied category of carbon assimilation, we are unable to make a concrete statement about the broader categories of grass versus broadleaf species because of sparse data and large variability.

Corn showed a surprisingly high initial CER response considering that it already possesses a  $CO_2$ -concentrating mechanism (the  $C_4$  metabolic pathway) in its leaves. Carbon assimilation responses to a doubling of  $CO_2$  concentration ranged from  $-5\%$  to around  $+40\%$  and it is not clear what environmental factors may have caused this variability. All the carbon assimilation variables for corn and sorghum taken together, however, confirm that the  $C_4$  crops respond very much less than the  $C_3$  crops in this category.

#### *Biomass accumulation*

For most of the species surveyed, the average predicted increase in biomass accumulation for a doubling of  $CO_2$  concentration was greater than the increase in long-term NAR. This is probably attributable to the increased leaf area of plants growing in high concentrations of  $CO_2$ , which compounds the effect of NAR in producing higher biomass.

The effects of  $CO_2$  doubling on biomass accumulation among  $C_3$  grasses appear to be reasonably similar at about  $+28\%$ , but the data for  $C_3$  broadleaf species are sparse and erratic. If soybean may be taken to represent  $C_3$  broadleaf crops, the effect on biomass accumulation of doubling  $CO_2$  concentration appears to be higher than for the  $C_3$  grasses, which is in keeping with their carbon assimilation responses. Biomass response to  $CO_2$  doubling was low for the  $C_4$  species corn ( $+9\%$ ) and sorghum ( $+3\%$ ) which also agrees with the generally low response of carbon assimilation for these species.

#### *Harvest index and yield*

For soybean and wheat, the only species for which a number of studies exist, yield results were similar to the biomass accumulation results. The relationships between biomass accumulation and yield for these two species are consistent with their predicted changes in harvest index ( $HI$ ),  $+0.02$  units for wheat and  $-0.05$  units for soybean. Soybean is the only species for which  $HI$  consistently decreased as  $CO_2$  concentration increased, an observation which raises questions about the efficiency of carbohydrate partitioning in soybean leaves during reproductive growth. The decreases in  $HI$  for soybean skewed the weighted average for  $HI$  for all species.

Yield data for the  $C_3$  grasses are few and variable. The value for rice is to be regarded with caution since none of the three studies included a proper control treatment.

### *Conductance and transpiration*

The responses of conductance and transpiration to a doubling of  $\text{CO}_2$  concentration were surprisingly uniform across species. The decrease in transpiration is not as large as the decrease in conductance because, as the stomata close and transpiration begins to fall, leaf temperature tends to increase, which in turn increases transpiration again. It is important to note that these changes are for leaf conductance and transpiration rates. Crop water use may not change in the same way because the increase in canopy leaf area may compensate for lower leaf transpiration rate in the high  $\text{CO}_2$  (Jones et al., 1985; Rogers et al., 1984b).

### *Interactions*

Judging by the availability of data in the cells of Tables IV–VII, water stress, which is probably the most important of the environmental interactions with elevated  $\text{CO}_2$ , is one of the least well studied. Because elevated  $\text{CO}_2$  tends to lower leaf conductance (Table III), water use is reduced and water stress may be avoided if a drought period is of short duration. This effect may be partly offset by an increase in leaf area as well as a rise in leaf temperature, which increases the vapor pressure gradient for transpiration. Under conditions of prolonged stress, however, stomatal conductance will eventually fall to near zero in any  $\text{CO}_2$  concentration. It is important to obtain realistic estimates from field-grown plants of leaf area response to elevated  $\text{CO}_2$  as a step towards anticipating changes in crop water use under field conditions. Differences among the experiments in leaf area response to  $\text{CO}_2$  probably account for the different relative yield responses to  $\text{CO}_2$  with and without water stress (Table IV).

Several investigations have shown that uptake of some nutrients does not keep pace with the increased growth which occurs with increased  $\text{CO}_2$  concentration. However, among the few papers reporting  $\text{CO}_2$  responses at varying nutrient concentrations, very few investigate possible mechanisms of interaction on nutrient uptake, growth, or physiological processes, or even report tissue nutrient concentrations. In the categories of carbon assimilation, biomass accumulation and yield (Table V), a majority of entries show that nutrient stress — often resulting from dilutions of complete nutrient solutions rather than of selected nutrients — limits the effects of  $\text{CO}_2$  enrichment. Growth requirements for major nutrients during  $\text{CO}_2$ -enhanced growth are almost entirely lacking for any species.

The absolute increase in photosynthetic rate in response to  $\text{CO}_2$  concentration is always greater in high light than in low light. However, this is not necessarily true of the relative increase. Crop studies in which the influence of light on the  $\text{CO}_2$  response of CER, NAR and growth are reported showed no consistent pattern, either within or among species (Table VI). High light increased the relative response to  $\text{CO}_2$  in about one-third of the studies,



decreased it in another third and had no effect in the remainder. In two studies, high light had a positive effect on the relative response of NAR to  $\text{CO}_2$  concentration when the plants were young, with this effect becoming zero or negative as the plants aged (barley, Ford and Thorne, 1967; corn, Sionit et al., 1982).

In all except one study, responses to  $\text{CO}_2$  were larger at high temperature than at low (Table VII). In most cases, the effect of temperature on the  $\text{CO}_2$  response of CER, NAR and biomass accumulation was measured at high light intensity. Under these conditions, the relative increases due to elevated  $\text{CO}_2$  concentration were greater at high temperatures up to and in most cases beyond the optimum temperature (that temperature in each study at which the measured variable had the highest value). At low light intensity, however, the effect of high temperature on the  $\text{CO}_2$  response was less positive.

## CONCLUSIONS AND RECOMMENDATIONS

(1) The statistical approach taken in this study requires a large number of independent data entries to arrive at a reliable estimate of a  $\text{CO}_2$  doubling response. Table III shows that we may begin to consolidate our understanding of the overall direction of change of key physiological processes of different crop species growing under constant conditions of elevated  $\text{CO}_2$ . However, the conclusions drawn with respect to overall responses are more reliable than those for interactions. Indeed, these tables highlight the paucity and variability of data on interactions between  $\text{CO}_2$  and other environmental variables. This review reveals that there is just too little quantitative information available to enable us to predict precise response to  $\text{CO}_2$  concentration under well-defined environmental conditions.

(2) Although the carbon assimilation variables for  $\text{C}_3$  broadleaf species show stronger responses to elevated  $\text{CO}_2$  than the  $\text{C}_3$  grasses, the data are as yet too erratic and sparse to firmly delineate or characterize response groups based on growth form.

(3) The  $\text{C}_4$  plants, corn and sorghum, showed a smaller increase in carbon assimilation and growth than  $\text{C}_3$  plants. In view of the presence of the  $\text{CO}_2$ -concentrating mechanism in  $\text{C}_4$  leaves, this smaller response to an increase in  $\text{CO}_2$  concentration is not surprising. Indeed it is surprising that there was any response to high  $\text{CO}_2$ . We need to examine possible roles of increased turgor or leaf temperature in determining the final extent of  $\text{C}_4$  canopy development.

(4) Soybeans and wheat are relatively well represented in the tables. Further information on the growth response of the other species is required. Several independent studies are necessary to obtain reliable information about a crop because of the variability in experimental conditions.

(5) Root:shoot ratios generally increased only a small amount.

(6) For all species except soybeans, *HI* increased under elevated  $\text{CO}_2$  concentrations, thus compounding the increases in biomass accumulation in

determining increased yield. Future breeding efforts will probably correct the situation for soybean *HI*, thus further increasing soybean yield.

(7) Conductance was decreased by  $\text{CO}_2$  doubling rather uniformly across species by about 34%. Future crop water consumption, however, is difficult to predict due to uncertainty about leaf area response to high  $\text{CO}_2$  under natural field conditions. To remove this impediment, realistic estimates of leaf area responses to elevated  $\text{CO}_2$  under field conditions are necessary.

(8) Many of the plants in controlled environment studies showed greater responses to  $\text{CO}_2$  than plants grown in other systems. Whereas a fundamental understanding of  $\text{CO}_2$  interactions with water availability, nutrition level, light and temperature can most readily be obtained in controlled environments, only field trials of the major crops can validate model predictions with respect to all of the processes discussed above.

## REFERENCES

- Clough, J.M. and Peet, M.M., 1981. Effects of intermittent exposure to high atmospheric  $\text{CO}_2$  on vegetative growth in soybean. *Physiol. Plant.*, 53: 565–569.
- Cure, J.D., 1985. Carbon dioxide doubling responses: a crop survey. In: Strain, B.R. and Cure, J.D. (Editors), *Direct Effects of Increasing Carbon Dioxide on Vegetation* (DOE/ER-0238). Chap. 5 and Appendix. U.S. Dep. Energy, Washington, D.C. Available from NTIS, Springfield, VA 22161.
- Dahlman, R.C., Strain, B.R. and Rogers, H.H., 1985. Research on the response of vegetation to elevated atmospheric carbon dioxide. *J. Environ. Qual.*, 14: 1–8.
- DeLucia, E.H., Sasek, T.W. and Strain, B.R., 1985. Photosynthetic inhibition after long-term exposure to elevated levels of atmospheric carbon dioxide. *Photosynth. Res.*, 7: 175–184.
- Ford, M.A. and Thorne, G.N., 1967. Effect of  $\text{CO}_2$  concentration on growth of sugarbeet, barley, kale, and maize. *Ann. Bot.*, 31: 629–644.
- Gifford, R.M., 1977. Growth pattern, carbon dioxide exchange and dry weight distribution in wheat growing under differing photosynthetic environments. *Aust. J. Plant Physiol.* 4: 99–110.
- Jones, P., Allen, L.H., Jr., Jones, J.W. and Valle, R., 1985. Photosynthesis and transpiration responses of soybean canopies to short- and long-term  $\text{CO}_2$  treatments. *Agron. J.*, 77: 119–126.
- Keeling, C.D., 1983. The global carbon cycle: What we know and could know from atmospheric, biospheric and oceanic observation. *Proc.  $\text{CO}_2$  Res. Conf.*, Berkely Springer, WV, (DOE-CONF 820970), available from NTIS, Springfield, VA 22161.
- Kimball, B.A., 1983. Carbon dioxide and agricultural yield: an assemblage and analysis of 430 prior observations. *Agron. J.*, 75: 779–788.
- Mauney, J.R., Guinn, G., Fry, K.E. and Hesketh, J.D., 1979. Correlation of photosynthetic carbon dioxide uptake and carbohydrate accumulation in cotton, soybean, sunflower and sorghum. *Photosynthetica*, 13: 260–266.
- Neales, T.F. and Nicholls, A.O., 1978. Growth responses of young wheat plants to a range of ambient  $\text{CO}_2$  levels. *Aust. J. Plant Physiol.*, 5: 45–59.
- Patterson, D.T. and Flint, E.P., 1982. Interacting effects of  $\text{CO}_2$  and nutrient concentration. *Weed Sci.*, 30: 389–394.
- Peet, M.M., 1984.  $\text{CO}_2$  enrichment of soybeans. Effect of leaf/pod ratio. *Physiol. Plant.*, 60: 38–42.

- Rogers, H.H., Cure, J.D., Thomas, J.F. and Smith, J.M., 1984a. Influence of elevated CO<sub>2</sub> on growth of soybean plants. *Crop Sci.*, 24: 361—366.
- Rogers, H.H., Sionit, N., Cure, J.D., Smith, J.M. and Bingham, G.E., 1984b. Influence of elevated carbon dioxide on water relations of soybeans. *Plant Physiol.*, 74: 233—238.
- Sionit, N., 1983. Response of soybean to two levels of mineral nutrition in CO<sub>2</sub>-enriched atmosphere. *Crop Sci.*, 23: 329—333.
- Sionit, N., Mortensen, D.A., Strain, B.R. and Hellmers, H., 1981. Growth response of wheat to CO<sub>2</sub> enrichment and different levels of mineral nutrition. *Agron. J.*, 73: 1023—1027.
- Sionit, N., Hellmers, H. and Strain, B.R., 1982. Interaction of atmospheric CO<sub>2</sub> enrichment and irradiance on plant growth. *Agron. J.*, 74: 721—725.
- U.S. National Research Council, 1983. *Changing Climate*. National Academy Press, Washington, D.C., 496 pp.
- Wong, S.C., 1980. Effects of elevated partial pressure of CO<sub>2</sub> on rate of CO<sub>2</sub> assimilation and water use efficiency in plants. In: Pearman, G.I., (Editor), *Carbon Dioxide and Climate*, Australian Research. Aust. Acad. Sci., Canberra, Australia, pp. 159—166.